# Latest AI Developments Explained (OpenAI SORA, World Models, Q\*)

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## SORA by Open Al

#### Text to video LLM

- Released on February 15, 2024
- Simulator of the physical world
- Natural language understanding
- Story, video, sound generation
- Transformers, World models,
   Latent diffusion, ...

#### Example text prompt:

This close-up shot of a chameleon showcases its striking color changing capabilities. The background is blurred, drawing attention to the animal's striking appearance.

## SORA by Open Al



## What makes this possible?

- Massive compute
- Massive datasets
- Massive human effort
  - Researching new algorithms
  - Data preparation, benchmarks
  - Foundational model training
  - Model alignment
  - Model productization (in progress for SORA)

## **NVIDIA** versus Intel



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#### What was Sora trained on? Creatives demand answers.

...

We think we know, but OpenAI refuses to tell us.



Every nanosecond of this #AI garbage is trained on stolen work by real artists. Repulsive.



Introducing Sora, our text-to-video model.

https://openai.com/blog/data-partnerships

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  - Foundational model training
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  - Model productization (in progress for SORA)

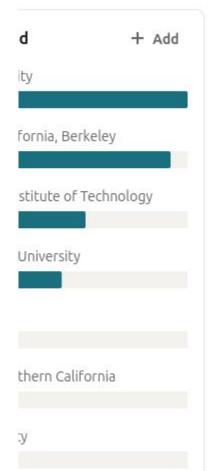
## Just OpenAI - from 45 people in 2017 ...

#### 1,701 associated members

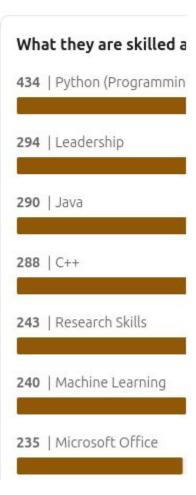




Search employees by title, keyword or school







https://openai.com/blog/team-update-january

## What made SORA possible? Research perspective

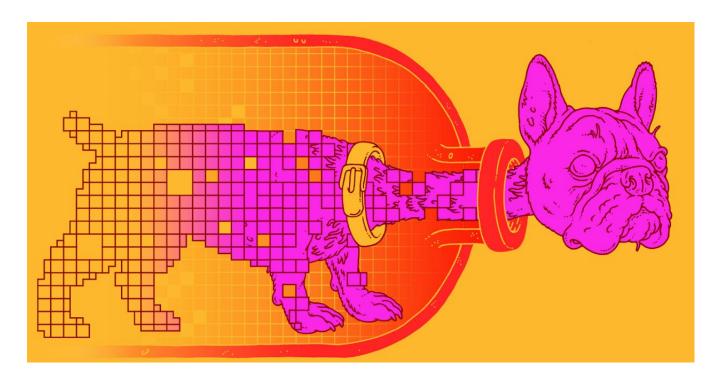
- Self-supervised training
- Understanding as compression
- Neural autoencoders
- Diffusion models
- Vision transformers
- Latent diffusion transformers
- World models

## Self-supervised training

- Labels not needed
- Massive data available text, images, sound, videos
- Learning tasks:
  - Masked modeling
  - Next sentence prediction
- Standard optimization methods available
  - SGD, ADAM, BackProp, ...

## Understanding as compression

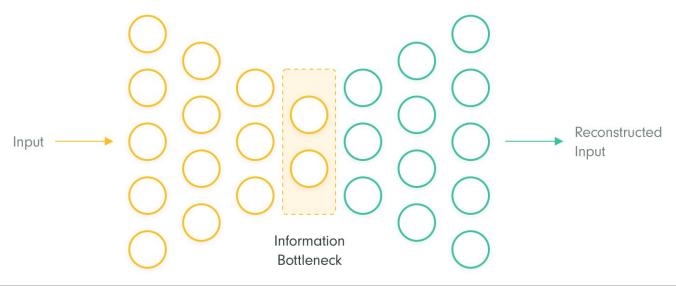
- Preserve important information, Generalize, Predict
- Various forms of information bottlenecks



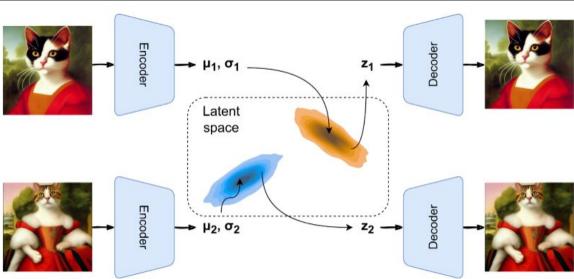
https://mural.maynoothuniversity.ie/10327/1/PM-Understanding-2016.pdf https://link.springer.com/article/10.1007/s11098-018-1152-1

## Neural compression - AE, VAE

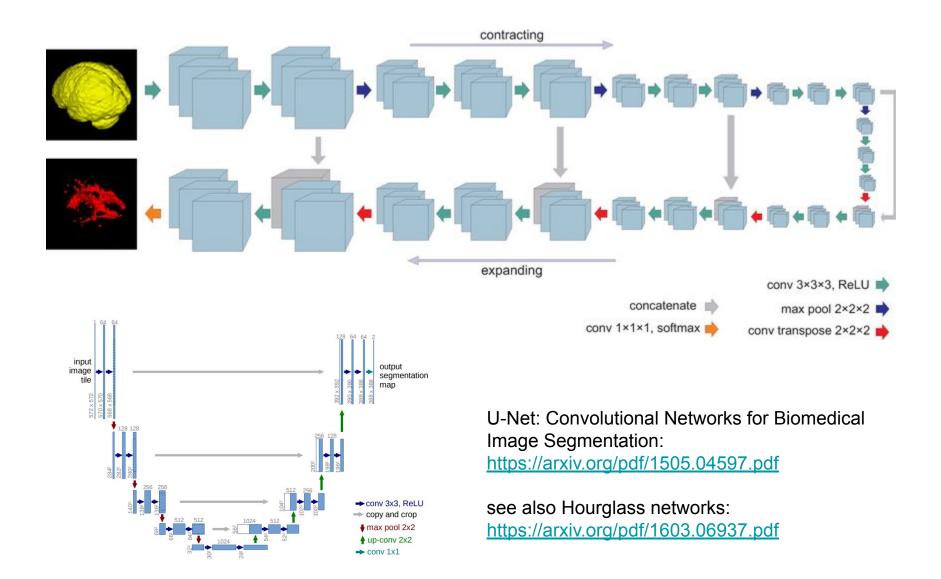
Auto Encoder (AE)



Variational
Auto
Encoder
(VAE)



## Neural compression - UNet



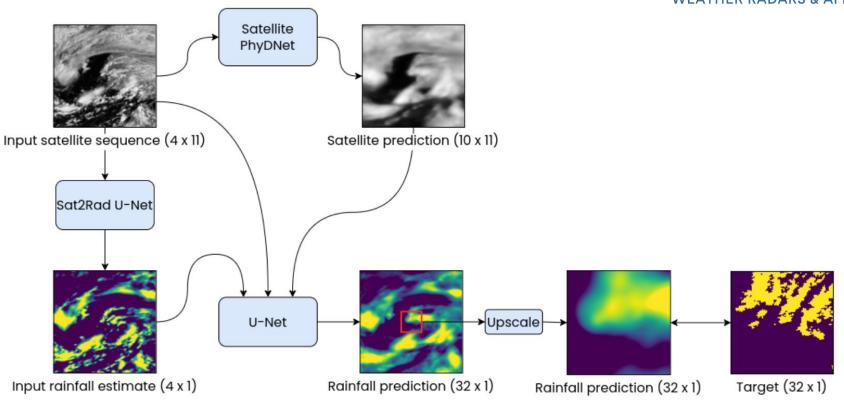
## UNets are quite useful

### WeatherFusionNet (WFN)



3 networks trained separately





## Diffusion models

Adding gaussian noise

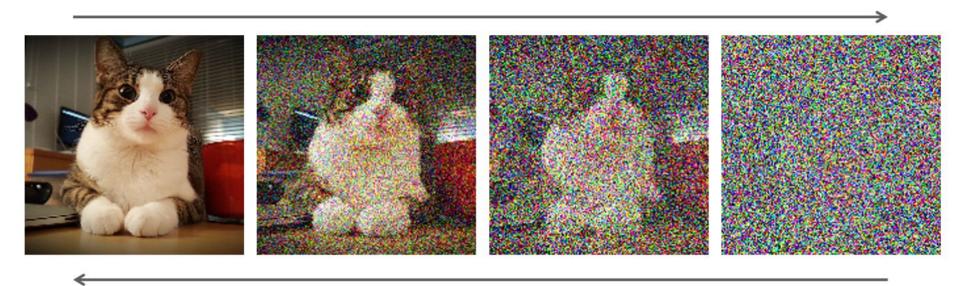
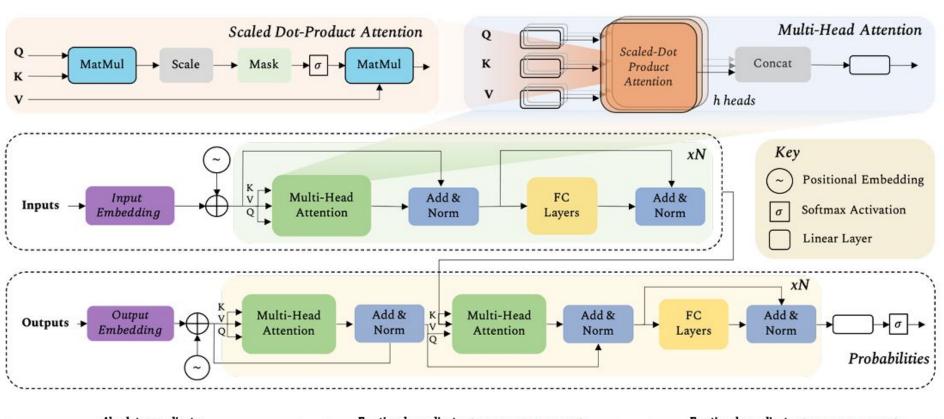
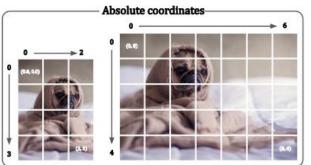
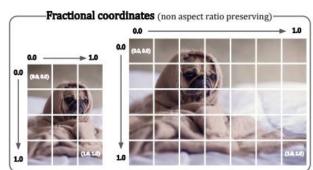


Image denoising process (remember masked modeling?)

### Vision transformers







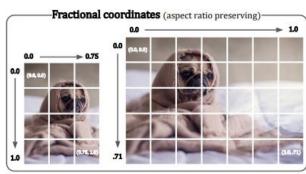
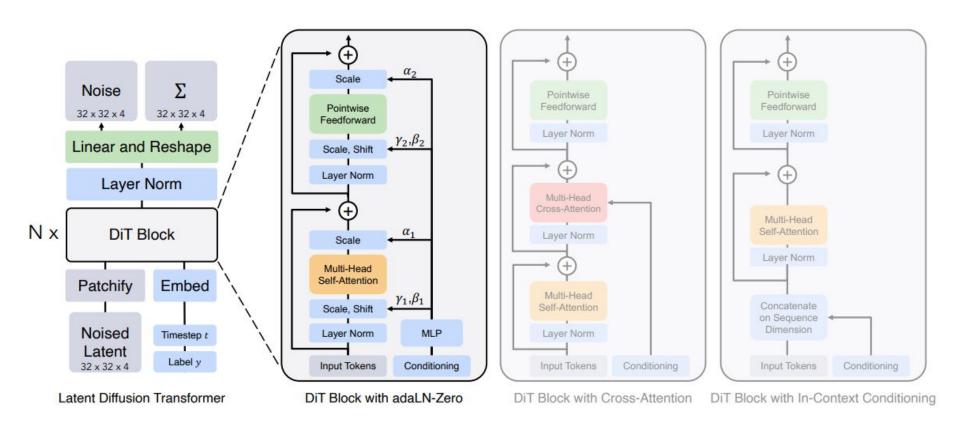


Image credit: Matthew Henry burst.shopify.com/photos/dog-staying-warm

## Latent diffusion transformers



## World models

At each time step, our agent receives an **observation** from the environment.

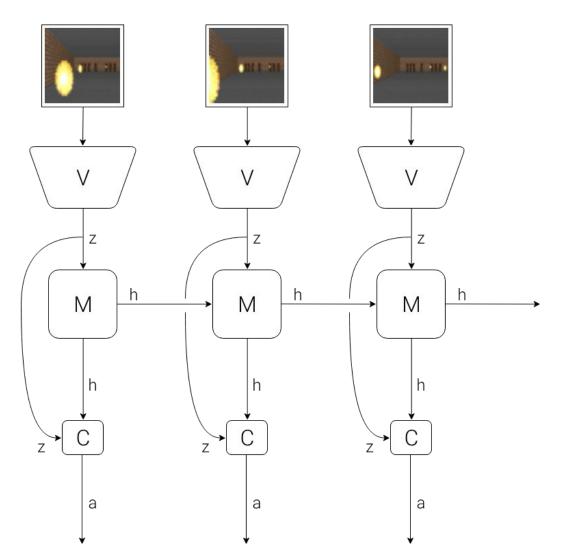
#### World Model

The Vision Model (V) encodes the high-dimensional observation into a low-dimensional latent vector.

The Memory RNN (M) integrates the historical codes to create a representation that can predict future states.

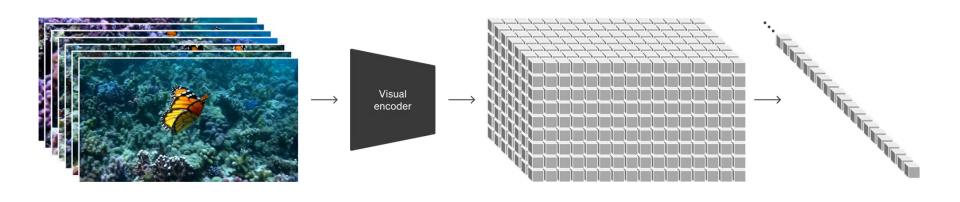
A small Controller (C) uses the representations from both V and M to select good actions.

The agent performs **actions** that go back and affect the environment.



https://worldmodels.github.io/

## SORA: Video generation models as world simulators



"...transformer architecture that operates on spacetime patches of video and image latent codes..."

## EMO - Generating Expressive Portrait Videos with Audio2Video Diffusion



Single Image

Video Synthesis

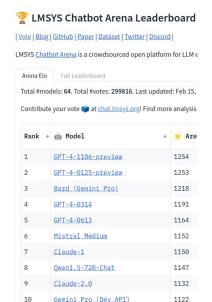
EMO: Emote Portrait Alive - Generating Expressive Portrait Videos with Audio2Video Diffusion Model under Weak Conditions

> LinRui Flan, Qt Wang, Bang Zhang, LieFeng Bo Institute for Intelligent Computing, Alibaba Group

https://arxiv.org/pdf/2402.17485.pdf

## SORA, EMO as Foundation models?

#### Google Gemma, Gemini 1.5 Pro



11

12

Claude-2.1

Mixtral-8x7b-Instruct-v0.1

## Foundation Models

Learn more about Google's foundation models that include text-to-image, text-to-code and speech-to-text.



#### Imagen Model Family

#### Unlocking visual creativity

Imagen is our family of image generation and editing n build on advances in large Transformer language mode models. This family of models is being incorporated int products, including: Image generation in Google Slides Android's Generative Al wallpaper.

Imagen is a text-to-image model with a high degree o

Gov.uk initial report on foundational models

**Governing open foundational models** 

1120

1120

CS 886: Recent Advances on Foundation Models

## What is next in AI research?



# OpenAI board warned Project Q\* could 'threaten humanity' prior to Sam Altman's sacking

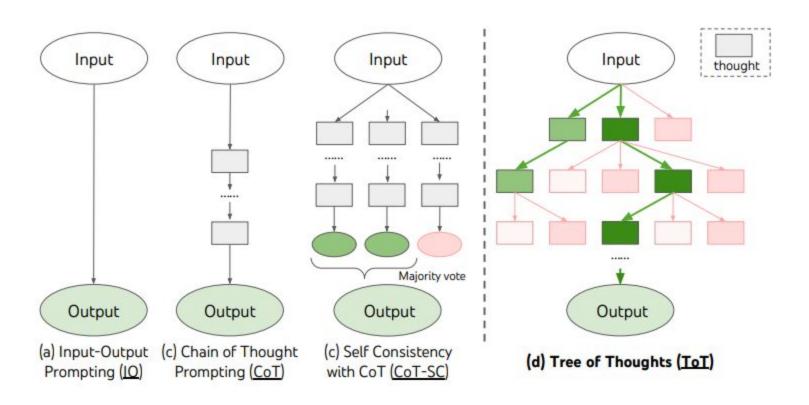
Newest algorithm is said to have solved simple maths problems – a major step forward for AI

#### Matthew Field

23 November 2023 • 12:36pm



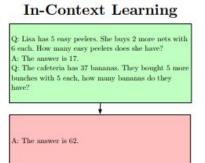
## Some speculations ...



Tree of Thoughts: Deliberate Problem Solving with Large Language Models

**4. Search algorithm.** Finally, within the ToT framework, one can plug and play different search algorithms depending on the tree structure. We explore two relatively simple search algorithms and leave more advanced ones (e.g. A\* [11], MCTS [2]) for future work:

#### Single-Turn Prompting



#### Instruction-Following

Here is a mathematical reasoning question. You need to apply arithmetic operations to generate the correct answer.

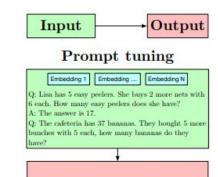
Q: Lisa has 5 easy peelers. She buys 2 more nets with 6 each. How many easy peelers does she have?
...

A: The answer is 62.

#### Chain-of-Thought

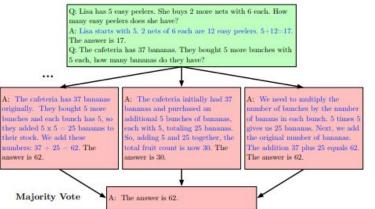
Q: Lisa has 5 easy peelers. She buys 2 more nets with 6 each. How many easy peelers does she have?
A: Lisa starts with 5. 2 nets of 6 each are 12 easy peelers. 5+12=17. The answer is 17.
Q: The cafeteria has 37 bananas. They bought 5 more bunches with 5 each, how many bananas do they have?

A: The cafeteria has 37 bananas originally. They bought 5 more bunches and each bunch has 5, so they added 5 x 5 = 25 bananas to their stock. We add these numbers: 37 + 25 = 62. The answer is 62.

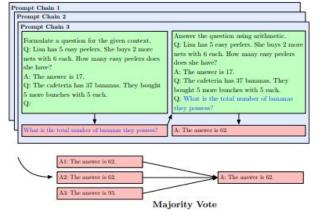


#### Multi-Turn Prompting





#### Ask-Me-Anything

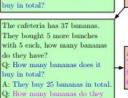


#### Least-To-Most

A: The answer is 62.

## Q: The cafeteria has 37 bananas. They bought 5 more bunches with 5 each, how many bananas do they have? A: To solve "How many bananas does it have?", we need to first solve: "How many bananas does it buy in total"?

#### Stage 2: Sequentially Solve Subquestions



The cafeteria has 37 bananas

They bought 5 more bunches

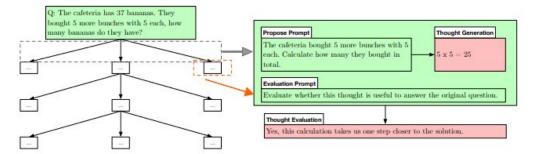
Q: How many bananas does it

with 5 each.

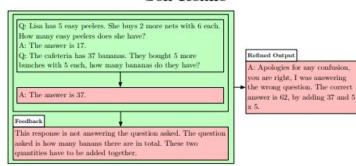
A: The cafeteria has 37 bananas. They buy 25 bananas in total. So, in total, they have 37 + 25 = 62 bananas.

A: They buy 25 bananas in total.

#### Tree of Thoughts



#### Self-Refine



## Path towards Super-intelligence and AGI



## What is needed?

- Memory augmented models
- Planning and reasoning blend with reinforcement learning?
- Better training datasets
- Better learning from human feedback
- Better benchmarks
- Open ended learning?

## Memory augmented LLMs are computationally universal

We show that transformer-based large language models are computationally universal when augmented with an external memory. Any deterministic language model that conditions on strings of bounded length is equivalent to a finite automaton, hence computationally limited. However, augmenting such models with a read-write memory creates the possibility of processing arbitrarily large inputs and, potentially, simulating any algorithm. We establish that an existing large language model, Flan-U-PaLM 540B, can be combined with an associative read-write memory to exactly simulate the execution of a universal Turing machine,  $U_{15,2}$ . A key aspect of the finding is that it does not require any modification of the language model weights. Instead, the construction relies solely on designing a form of stored instruction computer that can subsequently be programmed with a specific set of prompts.

		$\boldsymbol{A}$		B						E		F		G			
0	0, -	$\vdash$ , $B$	1,+	$\cdot, C$	0, -	$\cdot, G$	0, -	-, F	1,+	, A	1, -	, D	0, +	, H	1, -	, I	
1	1, -	$\vdash$ , $B$ $\vdash$ , $A$	1, +	A	0, -	$\cdot, E$	1, -	$\cdot, E$	1, -	, D	1, -	, D	1, -	$\cdot, G$	1, -	,G	
				J													
	0	0, +, A 1, -, J		1, -, K		0, +, L		0, +, M		0, -, B		0, -, C		0, +, N			
	1	1, –	, J	hal	lt	1, +	, N	1, -	$\vdash$ , $L$	1, -	+, L	$0, \dashv$	+, O	1, +	-, N		

Table 1: Transition table for the universal Turing machine  $U_{15,2}$ . Rows are indexed by the read symbol  $\sigma$ , columns are indexed by the state q, and each table entry  $(\sigma', m, q')$  specifies the write symbol  $\sigma'$ , the tape head move  $m \in \{-1, +1\}$ , and the next state q'.

## AlphaGO Zero (2017)

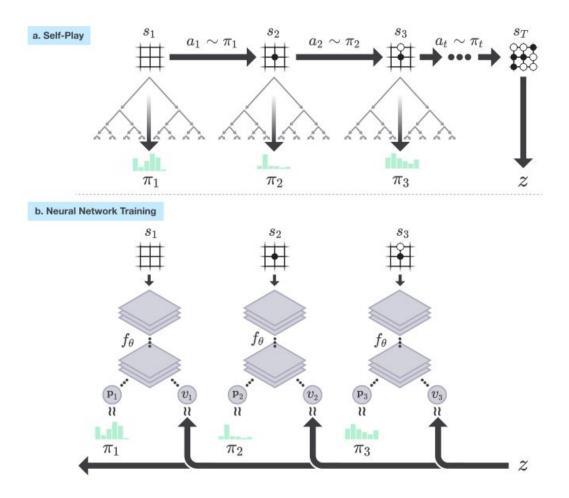
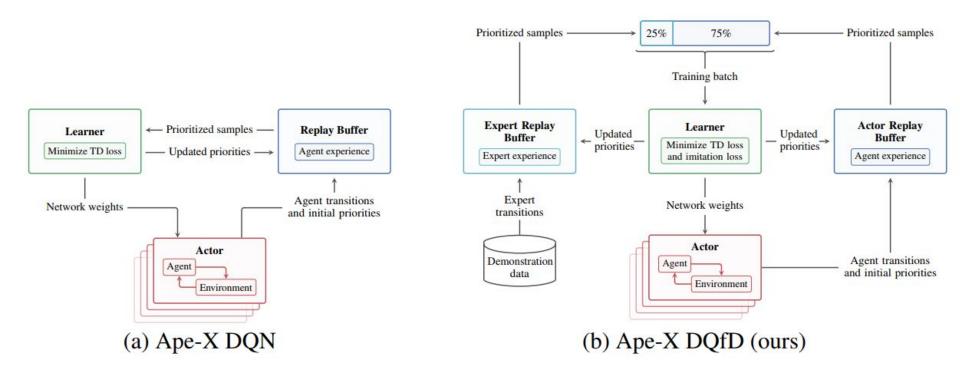


Figure 1: Self-play reinforcement learning in AlphaGo Zero. a The program plays a game  $s_1, ..., s_T$  against itself.

https://discovery.ucl.ac.uk/id/eprint/10045895/1/agz\_unformatted\_nature.pdf

## Observe and Look Further (2018)



... we ease the exploration problem by using human demonstrations that guide the agent towards rewarding states ...

### Machine Theory of Mind (2018)

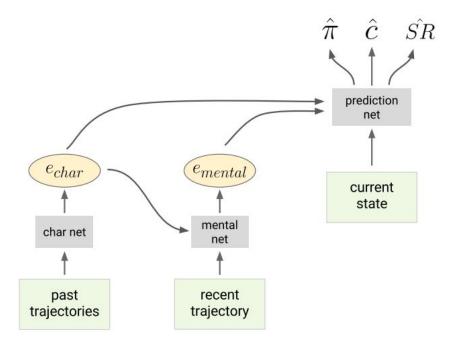


Figure 1. **ToMnet architecture.** The character net parses an agent's past trajectories from a set of POMDPs to form a character embedding,  $e_{\rm char}$ . The mental state net parses the agent's trajectory on the current episode, to form an embedding of its mental state,  $e_{\rm mental}$ . These embeddings are fed into the prediction net, which is then queried with a current state. This outputs predictions about future behaviour, such as next-step action probabilities  $(\hat{\pi})$ , probabilities of whether certain objects will be consumed  $(\hat{c})$ , and predicted successor representations  $(\widehat{SR}; Dayan, 1993)$ .

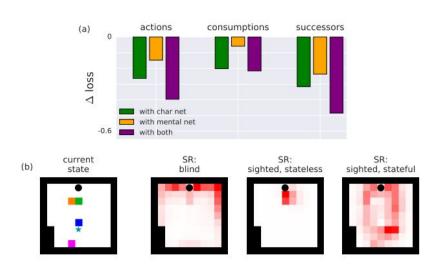
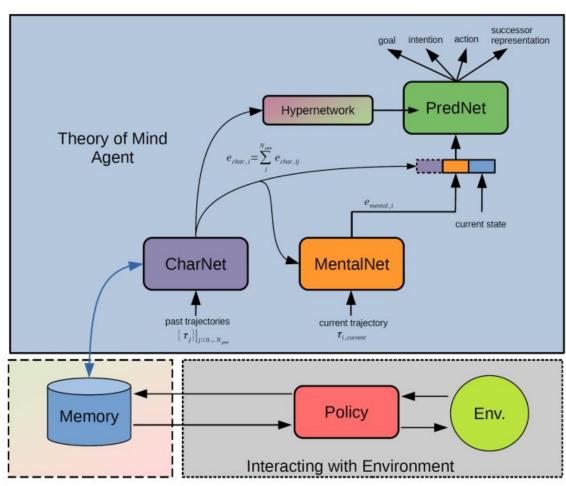


Figure 7. Using the ToMnet to characterise trained neural-net agents. (a) Usefulness of ToMnet components for the three behavioural prediction targets, compared with a simple ToMnet with no character nor mental net. Longer bars are better; including both character and mental nets is best. More details are given in Table A1. (b) A ToMnet's prediction of agents' future state occupancy given a query POMDP state at time t=0 (left), as per Fig 4d. Star denotes the subgoal. The maps on the right are produced after observing behaviour on  $N_{\rm past}=5$  past POMDPs from a sampled agent of each subspecies (always preferring the pink object). The ToMnet does not know a priori which subspecies each agent belongs to, but infers it from past behaviour.

## A ToM architecture

- Observer maintains memory of previous episodes of the agent.
- It theorizes the "traits" of the agent.
  - Implemented as Hyper Networks.
- Given the current episode, the observer tries to infer goal, intention, action, etc of the agent.
  - Implemented as memory retrieval through attention mechanisms.



#### **Agent57: Outperforming the Atari Human Benchmark**

Adrià Puigdomènech Badia \* 1 Bilal Piot \* 1 Steven Kapturowski \* 1 Pablo Sprechmann \* 1 Alex Vitvitskyi 1 Daniel Guo 1 Charles Blundell 1

#### Abstract

Atari games have been a long-standing benchmark in the reinforcement learning (RL) community for the past decade. This benchmark was proposed to test general competency of RL algorithms. Previous work has achieved good average performance by doing outstandingly well on many games of the set, but very poorly in several of the most challenging games. We propose Agent57, the first deep RL agent that outperforms the standard human benchmark on all 57 Atari games. To achieve this result, we train a neural network which parameterizes a family of policies ranging from very exploratory to purely exploitative. We propose an adaptive mechanism to choose which policy to prioritize throughout

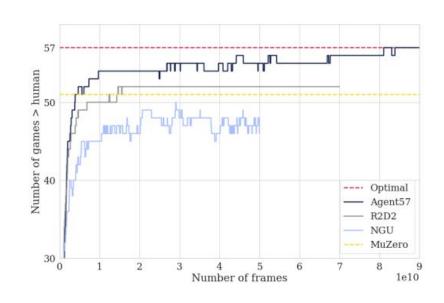
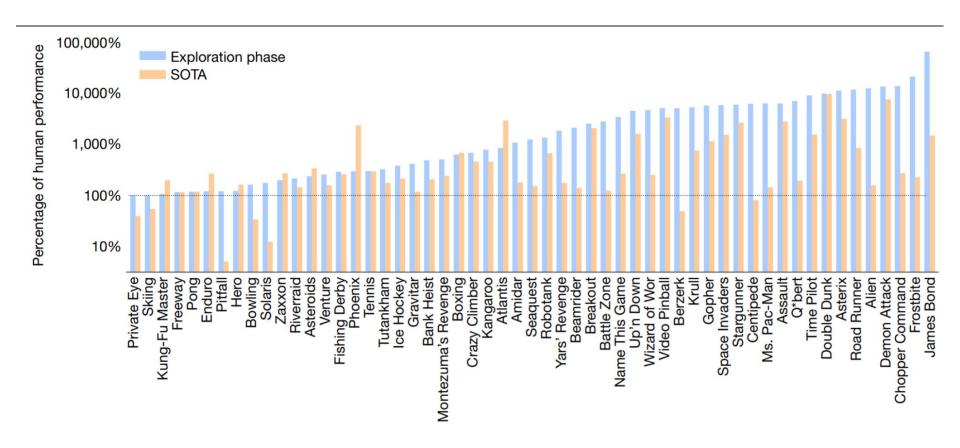


Figure 1. Number of games where algorithms are better than the human benchmark throughout training for Agent57 and state-of-the-art baselines on the 57 Atari games.

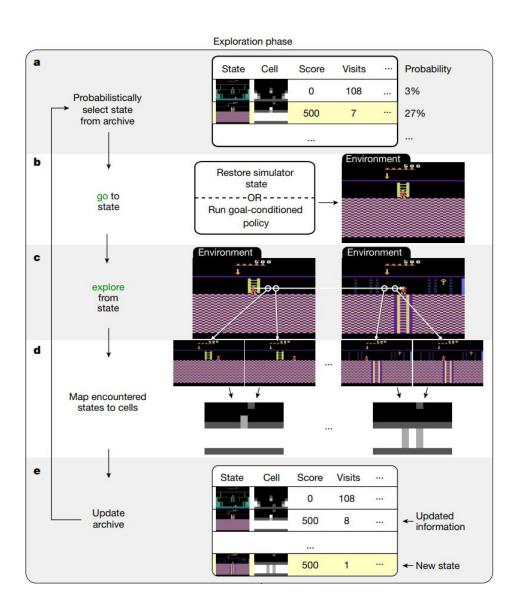
... we train a neural network which parameterizes a family of policies ranging from very exploratory to purely exploitative. We propose an adaptive mechanism to choose which policy to prioritize throughout the training process. ...

## Human competitiveness of results



https://www.nature.com/articles/s41586-020-03157-9.pdf

## First return then explore (2021)



- What if rewards provide sparse and deceptive feedback?
- We can explicitly
   'remember'
   promising states and
   returning to such
   states before
   intentionally
   exploring

### Reasoning with Language Model is Planning with World Model

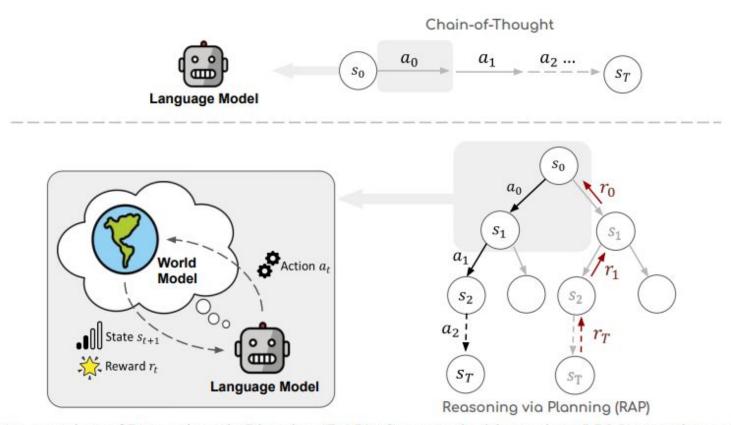


Figure 1: An overview of Reasoning via Planning (RAP). Compared with previous LLM reasoning methods like Chain-of-Thought (Wei et al., 2022), we explicitly model the world state from a world model (repurposed from the language model), and leverage advanced planning algorithms to solve the reasoning problems.

# AGIeval - evaluating reasoning capabilities

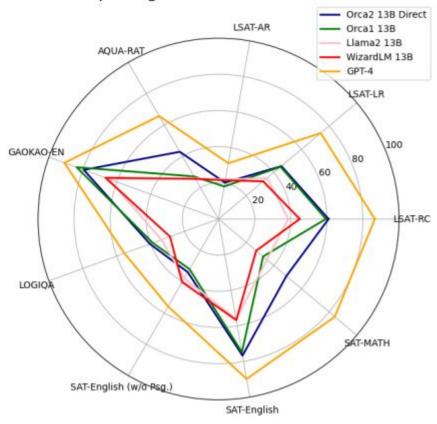
Orca 2: Teaching Small Language Models How to Reason:

https://arxiv.org/pdf/2311.1 1045.pdf

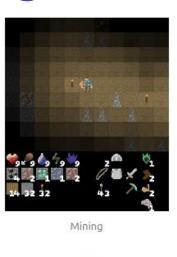
AGIEval: A Human-Centric Benchmark for Evaluating Foundation Models: https://arxiv.org/pdf/2304.0

6364.pdf





# Fast open-ended environment for training reinforcement learning agents









Archery

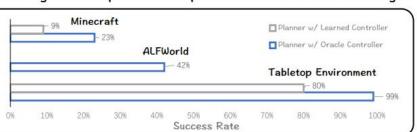




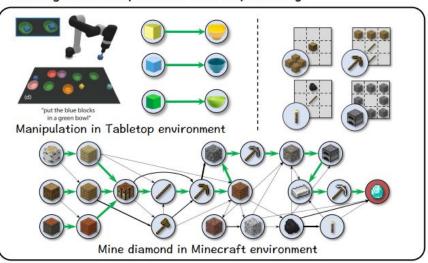
https://craftaxenv.github.io/

### Describe, Explain, Plan and Select: LLMs Enables Open-World Multi-Task Agents

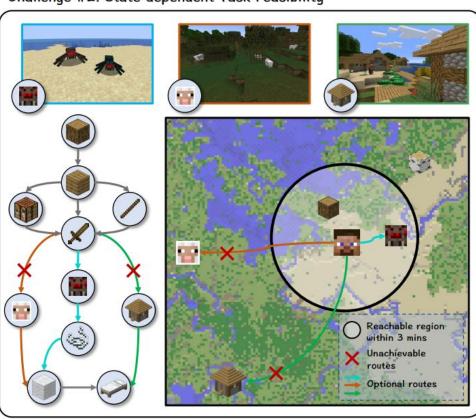
Planning success plummet in open worlds due to new challenges



Challenge #1: Complex Sub-task Dependency



Challenge #2: State-dependent Task Feasibility



DreamerV3: universal world models

https://arxiv.org/pdf/2301.04104.pdf

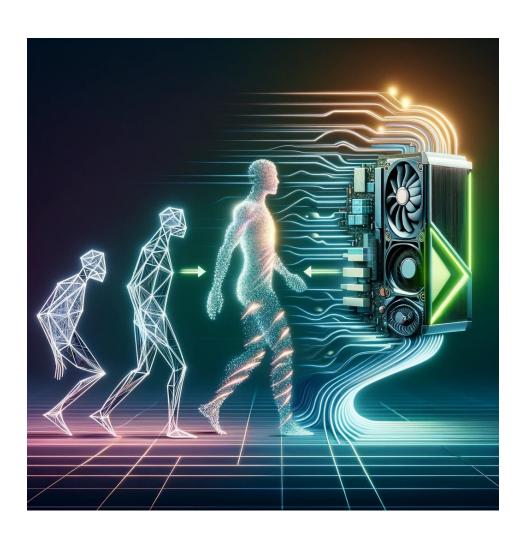
# Google Genie: generating playable environments from single image



Zero shot world models of diverse environments: <a href="https://arxiv.org/pdf/2402.15391v1.pdf">https://arxiv.org/pdf/2402.15391v1.pdf</a>

### Making Al systems affordable

**Transforming** research prototypes into production Al systems that are fast and can handle millions of concurrent users ....



### Flash attention - accelerating transformers

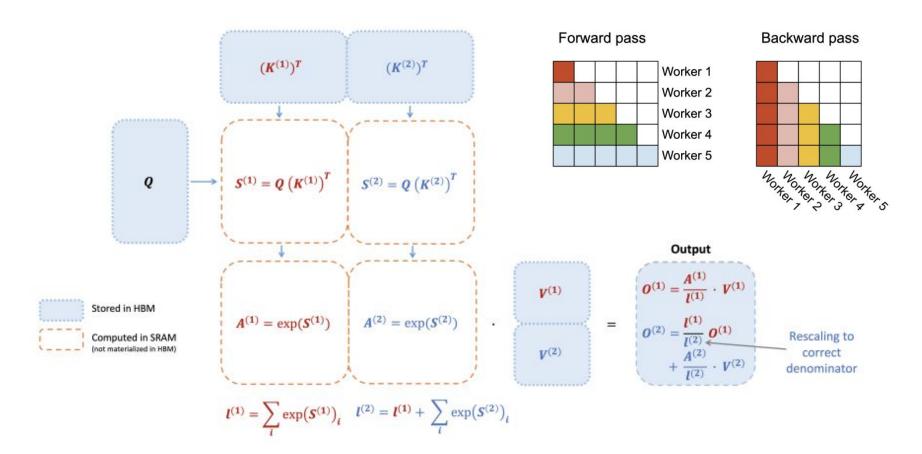


Figure 1: Diagram of how FlashAttention forward pass is performed, when the key K is partitioned into two blocks and the value V is also partitioned into two blocks. By computing attention with respect to each block and rescaling the output, we get the right answer at the end, while avoiding expensive memory reads/writes of the intermediate matrices S and P. We simplify the diagram, omitting the step in softmax that subtracts each element by the row-wise max.

### Ring attention

	Max context size supported (×1e3)						
	Vanilla	Memory Efficient Attn	Memory Efficient Attn and FFN	Ring Attention (Ours)	Ours vs SOTA		
8x A100							
NVLink		22					
3B	4	32	64	512	8x		
7B	2	16	32	256	8x		
13B	2	4	16	128	8x		
32x A100	i i						
InfiniBand							
7B	4	64	128	4096	32x		
13B	4	32	64	2048	32x		
TPUv3-512							
7B	1	4	8	2048	256x		
13B	1	2	8	1024	128x		
TPUv4-1024	Î						
3B	8	16	32	16384	512x		
7B	4	8	16	8192	512x		
13B	4	8	16	4096	256x		
30B	2	4	8	2048	256x		

https://arxiv.org/pdf/2310.01889.pdf

### LORA: LOW-RANK ADAPTATION OF LARGE LANGUAGE MODELS

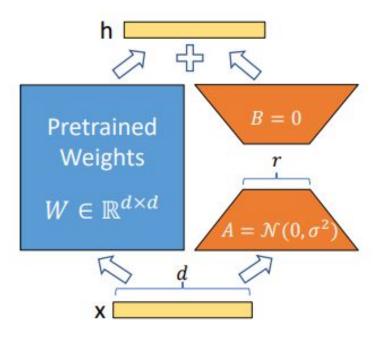
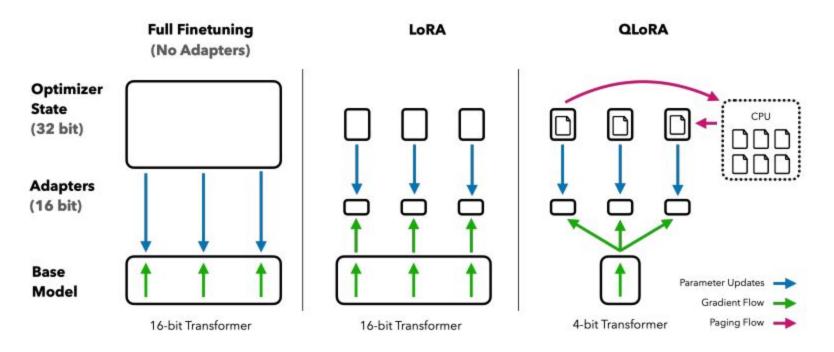


Figure 1: Our reparametrization. We only train A and B.

#### QLoRA for transformers



**Figure 1:** Different finetuning methods and their memory requirements. QLoRA improves over LoRA by quantizing the transformer model to 4-bit precision and using paged optimizers to handle memory spikes.

# Decision Transformers with Internal Working Memory

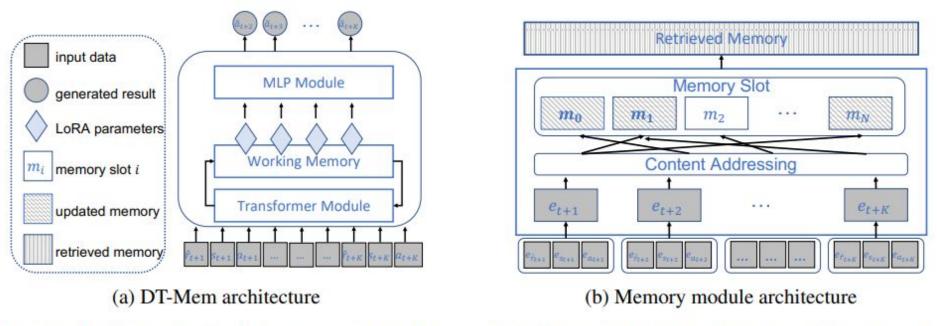
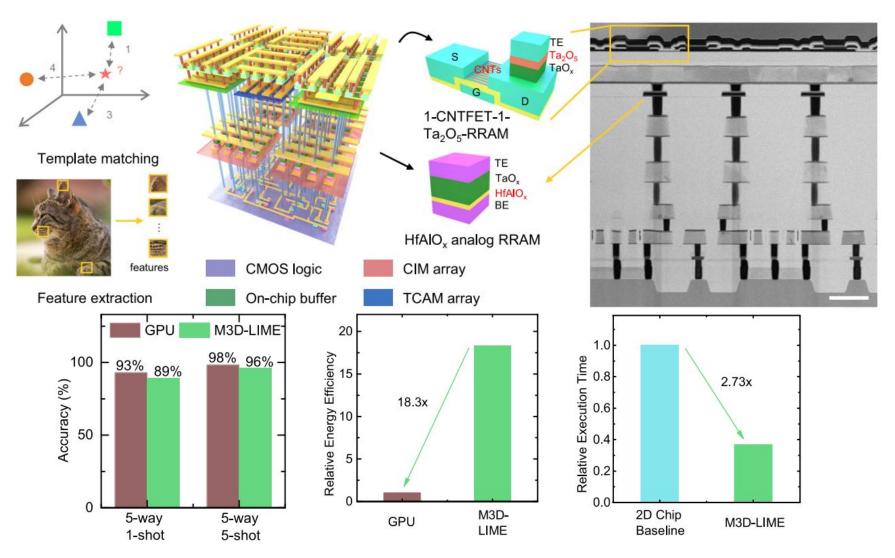


Figure 2: An overview of the proposed DT-Mem architecture. In 2a, Transformer module interact with working memory multiple times.

DT-Mem achieves better generalization on Atari games with only 10% of the model parameters compared to the state-of-the-art method.

### Hardware acceleration in 3D



The classification accuracy of one-shot/few-shot learning on the Omniglot dataset using GPU and the M3D-LIME. The accuracy is the average of 5 randomly selected classes (5-way) in the dataset. Benchmark of the energy efficiency of the M3D-LIME chip and GPU. Benchmark of the execution time on the M3D-LIME and 2D chip baseline

### Real world AI use cases



### Autonomous driving

<u>Autopilot Review</u>: Tesla and Elon Musk have placed a big target on delivering autonomous Full Self-Driving and even a <u>Tesla RoboTaxi service</u>. By most accounts, that's extremely ambitious and it doesn't seem close-at-hand... yet.

That said, the latest Full Self-Driving Beta is showing some impressive improvements. Now with Hardware 4 having launched in 2023 we should continue to see a steady pace of improvements to the Autopilot and Full Self-Driving experience. However, it's important to remember, it's likely still years away from being truly autonomous.

Police investigate after Waymo driverless car vandalized, set





### Planning-oriented Autonomous Driving

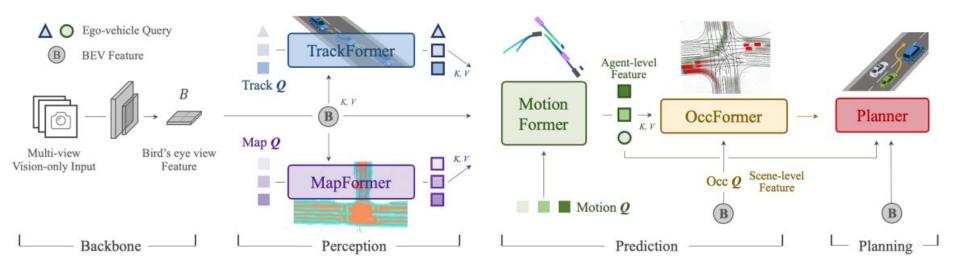


Figure 2. **Pipeline** of Unified Autonomous Driving (UniAD). It is exquisitely devised following planning-oriented philosophy. Instead of a simple stack of tasks, we investigate the effect of each module in perception and prediction, leveraging the benefits of joint optimization from preceding nodes to final planning in the driving scene. All perception and prediction modules are designed in a transformer decoder structure, with task queries as interfaces connecting each node. A simple attention-based planner is in the end to predict future waypoints of the ego-vehicle considering the knowledge extracted from preceding nodes. The map over occupancy is for visual purpose only.

https://openaccess.thecvf.com/content/CVPR2023/papers/Hu\_Planning-Oriented\_Autonomous\_Driving\_CVPR\_2023\_paper.pdf

#### A Generative World Model for Autonomous Driving

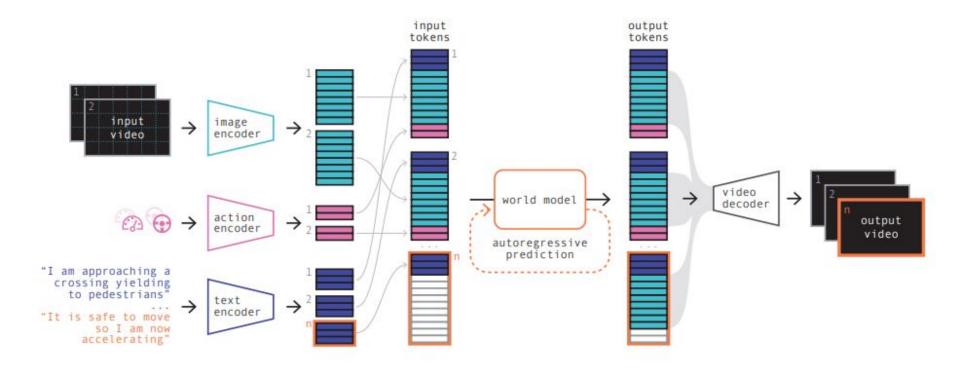
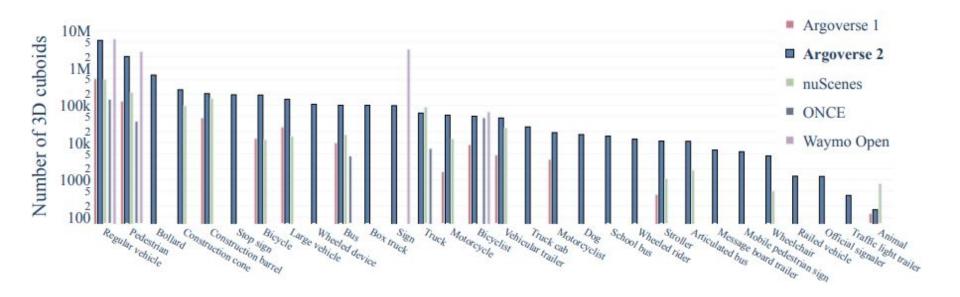


Figure 2: Architecture of GAIA-1. First, we encode information from all input modalities (video, text, action) into a common representation: images, text and actions are encoded as a sequence of tokens. The world model is an autoregressive transformer that predicts the next image token conditioned on past image, text, and action tokens. Finally, the video decoder maps the predicted image tokens back to the pixel space, at a higher temporal resolution.

### Argoverse 2: Next Generation Datasets for Self-Driving Perception and Forecasting

Table 1: Comparison of the Argoverse 2 Sensor and Lidar datasets with other sensor datasets.

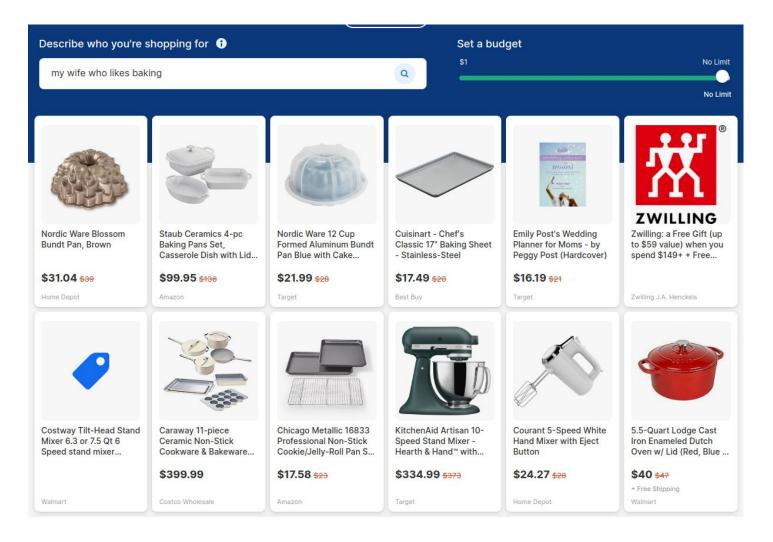
Name	# Scenes	Cities	Lidar?	# Cameras	Stereo	HD Maps?	# Classes	# Evaluated Classes
Argoverse 1 [6]	113	2	<b>√</b>	7	<b>√</b>	✓	15	3
KITTI [17]	22	1	1	2	1		3	3
nuScenes [4]	1,000	2	<b>✓</b>	6		✓	23	10
ONCE [36]	581	_	<b>√</b>	7			5	3
Waymo Open [45]	1,150	3	1	5			4	4
Argoverse 2 Sensor	1,000	6	<b>√</b>	9	<b>√</b>	<b>√</b>	30	26
Argoverse 2 Lidar	20,000	6	<b>✓</b>	2		✓	-	-



### LLM search and recommendations



# Given a natural query, return items from catalog relevant for given user ...



## Refining SBERT-based Semantic Search: Ethical Controls and Content Precision

#### 3 Data and model

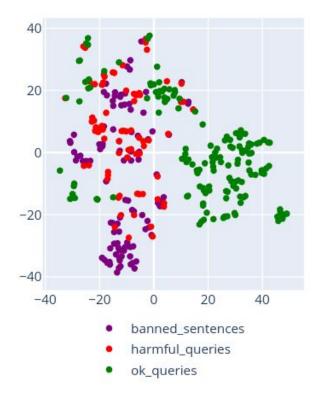
Data for training the SBERT model were obtained from the client. The various queries used for testing the ethical filters were designed by the author, using several sources such as [2] or [1].

The SBERT model is derived from a pre-trained general MPNetModel from the SentenceTransformers library. It was then trained using the data provided by the customer to better perform on this specific task. It outputs 512-dimensional embedding vectors.

#### 4 Ethical controls and content precision

The idea of ethical control is the follows: Create a list of banned sentences, that would cover harmful topics. If a query similarity to some banned sentence is higher than a given threshold, it should be ignored. To measure the similarity between two queries, it is first necessary to get the embedding vectors. These can be obtained using the trained

Queries and banned sentences



### Personal assistants



#### Memory-augmented Dialogue Management for Task-oriented Dialogue Systems

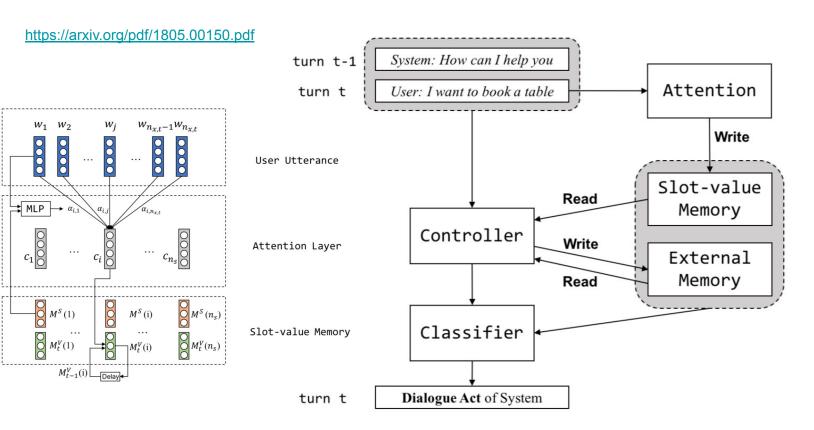


Fig. 3. Memory-augmented Dialogue Management (MAD): At each dialogue turn t, the model takes as input the current user utterance and the previous system response, and predicts the next dialogue act. The slot-value memory is updated with an attentive read of the user utterance by a slot-level attention mechanism while the external memory is read and updated by the controller. The memory controller along with the two memory modules will predict the next dialogue act of the system by a classifier.

### Memory-Augmented LLM Personalization with Short- and Long-Term Memory Coordination

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#### Abstract

Large Language Models (LLMs), such as GPT3.5, have exhibited remarkable proficiency in comprehending and generating natural language. However, their unpersonalized generation paradigm may result in suboptimal user-specific outcomes. Typically, users converse differently based on their knowledge and preferences. This necessitates the task of enhancing user-oriented LLM which remains unexplored. While one can fully train an LLM for this objective, the resource consumption is unaffordable. Prior research has explored memory-based methods to store and retrieve knowledge to enhance generation without retraining for new queries. However, we contend that a mere memory module is inadequate to comprehend a user's preference, and fully training an LLM can be excessively costly. In this study, we propose a novel computational bionic memory mechanism, equipped with a parameter-efficient fine-tuning schema, to personalize LLMs. Our extensive experimental re-

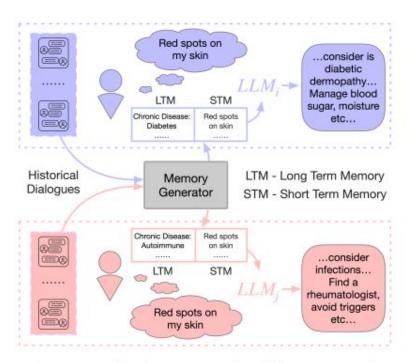


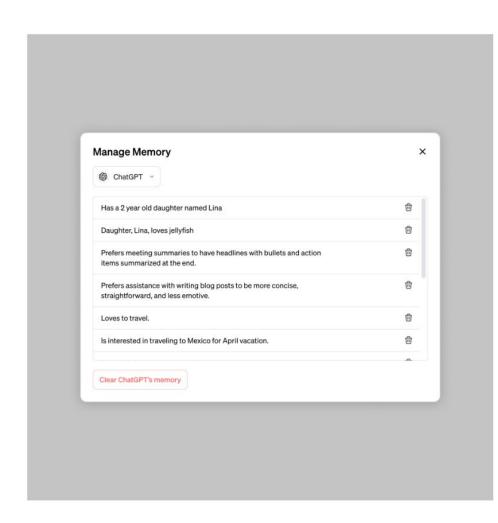
Figure 1: Personalized responses for different users in terms

### Al Assistants getting personal

### Memory and new controls for ChatGPT

We're testing the ability for ChatGPT to remember things you discuss to make future chats more helpful. You're in control of ChatGPT's memory.

February 13, 2024



# Al4kids (non profit) personalized learning app



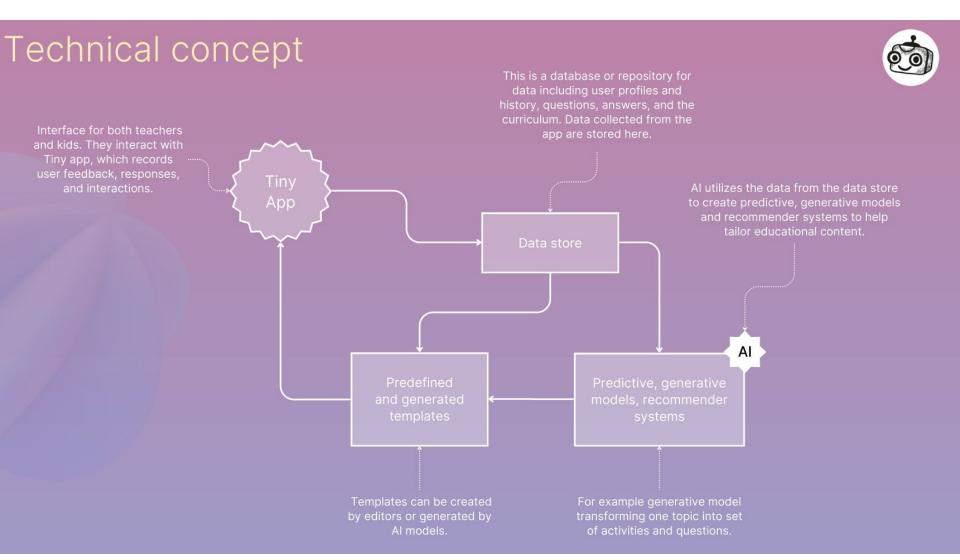
Supported by Google.org
Impact Challenge: Tech for
Social Good.

- LLMs as personal tutors?
- LLMs evaluating teaching progress?
- LLMs generating learning tasks for children?
- How to help both kids and teachers?
- How to make AI safe for kids?

First results: <a href="https://github.com/slavivo/open\_evaluation">https://github.com/slavivo/open\_evaluation</a>

Who is interested? Let me know! I am looking for advisory board member with experience in AI safety.

# Integrating Al Assistants into Classroom Learning



#### MVP—basic roadmap 2024



				Research in schools				
	April	May	June	July A	ugust	September	November	December
Student part	POC prototype (dumb)  • manual preparation of exercise for the first part of curriculum  • based on existing tools (questionnaires etc.)  • data collection  • custom build POC using low code		Prototype testing • in schools	Reflection & self-evaluation  • reflective conversation design  • questions to support self-evaluation		Reflection & self- evaluation part testing	Putting all parts together	FINAL MVP prototype
	Knowledge v • how to ge Al vs. hum	enerate questions?		MVP design (student's part)  • pre-final stage				
Teacher part				POC prototype (dum • similar to student	part	Prototype testing • similar to student part (teacher's part)		
Research POC	<ul> <li>research</li> </ul>	POC for student part (Al t will continue throughout t of the project		ch POC for teachers part (Al t ch will continue throughout the				

POC—research proof of concept focusing on Al technologies that enable the MVP to be scalable and applicable in school learning environments; in particular, we need to take the first steps towards an Al tutor for kids and an Al teaching assistant for teachers.

Possible research topics: generating good questions for students given an educational material, task or activity to assess their understanding; evaluating students' answers and extracting their learning progress, giving appropriate feedback, presenting learning progress of individual kids to the teacher, notifying the teacher that some kid needs attention

### Other interesting papers

ChatGPT: <a href="https://arxiv.org/pdf/2206.02336.pdf">https://arxiv.org/pdf/2206.02336.pdf</a>

https://arxiv.org/pdf/2206.07699.pdf

https://arxiv.org/pdf/2201.11903.pdf

PALM: https://arxiv.org/pdf/2204.02311.pdf

GATO: <a href="https://arxiv.org/pdf/2205.06175.pdf?fs=e&s=cl">https://arxiv.org/pdf/2205.06175.pdf?fs=e&s=cl</a>

Model free RL: <a href="https://arxiv.org/pdf/2208.07860.pdf">https://arxiv.org/pdf/2208.07860.pdf</a>

Diffusion world models: <a href="https://arxiv.org/pdf/2402.03570.pdf">https://arxiv.org/pdf/2402.03570.pdf</a>

Mamba: <a href="https://openreview.net/forum?id=AL1fq05o7H">https://openreview.net/forum?id=AL1fq05o7H</a>

CodeIt: https://arxiv.org/pdf/2402.04858.pdf

Algorithm discovery:

https://www.nature.com/articles/s41586-023-06887-8

https://www.jmlr.org/papers/volume24/21-0449/21-0449.pdf

#### Thanks for attention



https://open.substack.com/pub/pavelkordik/p/latest-ai-developments-explained

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